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COMPARATIVE POLITICS: INSTITUTIONS

Objectives

This seminar is designed to help students prepare for the institutional part of the Ph.D. comprehensive examination in comparative politics and for comparative political research, including doctoral dissertations. Though this seminar covers a wide range of subjects, it will only tangentially treat subjects such as political development, mass behavior, interest groups and social movements, and public policy. Students preparing for the Ph.D. comprehensive exam should therefore seek other opportunities to study these topics.

The seminar is organized around ten themes:

- 1. Introduction: Institutions and Institutional Analysis
- 2. Democracy and Autocracy
- 3. Federalism, Consociationalism, and Power-sharing
- 4. Presidentialism and Executives
- 5. Parliamentary Democracy and Legislatures
- 6. Coalitions and Coalition Bargaining
- 7. Elections and Party Systems
- 8. Party Development and Organization
- 9. Bureaucracy, Delegation, and Law
- 10. Institutions and Economic Performance

Format

The seminar will meet on a weekly basis. Generally, each week will introduce a new theme in the comparative study of political institutions. For each theme, the reading list distinguishes between required and recommended readings. *Required* readings will form the basis of the general seminar discussions, and all students should read them carefully and critically before class. *Recommended* readings are additional readings of broad theoretical importance, with which students preparing for the comprehensive exam should gain familiarity. These readings are also suitable for literature review papers, though they are by no means an exhaustive list of such readings.

Assignments

All students must do the assigned readings, write two discussion papers (5-7 pages in length), complete a take-home final exam, participate actively in the seminar discussions, and give regular presentations on the readings. Each week, students will be assigned specific readings to review. These presentations (approx. 15 minutes) should summarize a set of readings assigned for that session and relate them to other literature on that topic. They should review and critique the arguments and tests made in these pieces and comment critically on their assumptions, arguments, research designs, measures, results, and implications. Finally, presentations should raise questions for discussion and future research. Students giving presentations should also prepare brief outlines for distribution to the other seminar participants. Outlines and presentations should be designed to raise general questions for seminar discussion and to tie the material in with the general seminar agenda. NOTE: Students are expected to do *all* of the required reading and to be prepared to discuss it, not just their particular presentation assignment.

The discussion papers are due in class and should provide *careful and concise reviews of some body of readings* (which may include recommended as well as required texts). Discussion papers may, but need not, be based on seminar presentations. Your analysis of the reading should go beyond summary toward critical commentary and a discussion of the issues that unite the work. The papers should also make an argument. Thus, early in the paper (first paragraph), there should be a line that says: "in this paper, I argue that . . . " (Or something closely related). The argument should be stated clearly and concisely and the rest of the paper should tie into this argument. Writing style matters! Be focused and succinct and avoid long quotations. Students should in principle write one paper on one of the topics covered in weeks 1-5 and one paper on one of the topics of weeks 6-10, though you may request an exemption if you believe it would improve your learning experience.

The first of the discussion papers will be due no later than **October 26**, the second no later than **November 23**. The take-home exam will tentatively be due on **December 9**. Grades will be based on course assignments in the

following way: discussion papers 20% each, take-home exam 40%, presentations and class participation 20%. Extensions, incompletes, etc. will be given in accordance with UCSD policy. Except under **very pressing circumstances**, however, I will discourage you from requesting such options.

Readings

This seminar covers a large body of a literature, much of which you may want to have at your disposal, but I expect your purchasing decisions to be guided by your professional judgment as well as by your budget constraint. Therefore, no books have been ordered by the UCSD Bookstore for this course, but I will be happy to provide suggestions.

1. **INTRODUCTION: INSTITUTIONS AND INSTITUTIONAL ANALYSIS** (September 28).

Required:

James G. March and Johan P. Olsen, "Institutional Perspectives on Political Institutions." *Governance* 9, 3 (July 1996), 247-64.

Kenneth A. Shepsle, "Studying Institutions: Some Lessons from the Rational Choice Approach," *Journal of Theoretical Politics* 1, 2 (April 1989), 131-147.

Adam Przeworski, "Institutions Matter?" Government and Opposition 39, 2004: 527-540.

Kathleen Thelen, "Historical Institutionalism in Comparative Politics," *Annual Review of Political Science* 2 (1999): 369-404.

Recommended:

David Austen-Smith and Jeffrey S. Banks, "Social Choice Theory, Game Theory, and Positive Political Theory," *Annual Review of Political Science*, Vol. 1: 259-287.

Symposium on "The Return to the State," American Political Science Review 82, 3 (September 1988), 853-901.

Robert Bates, Avner Greif, Margaret Levy, Jean-Laurent Rosenthal, and Barry Weingast. *Analytic Narratives*. Princeton: Princeton University Press, 1998.

Randall Calvert, "The rational choice theory of social institutions: cooperation, coordination, and communication," in Jeffrey Banks and Eric Hanushek (eds.). *Modern Political Economy*. Cambridge: Cambridge University Press.

John M. Carey, "Parchment, Equilibria, and Institutions." *Comparative Political Studies* 33, 6-7 (August-September 2000), 735-61.

Paul DiMaggio and Walter Powell, eds., *The New Institutionalism in Organizational Analysis*. Chicago: University of Chicago Press, 1991.

Thráinn Eggertsson, Economic Behavior and Institutions. Cambridge: Cambridge University Press, 1990.

Peter B. Evans, Dietrich Rueschemeyer, and Theda Skocpol, eds., *Bringing the State Back In.* Cambridge: Cambridge University Press, 1985.

Eirik G. Furubotn and Rudolf Richter. *Institutions and Economic Theory*. Ann Arbor: University of Michigan Press, 1997.

Avner Greif. Institutions and the Path to the Modern Economy. Cambridge: Cambridge University Press, 2006.

Jack Knight, Institutions and Social Conflict. Cambridge: Cambridge University Press, 1992.

David D. Laitin, "The Perestroikan Challenge to Social Science," Politics & Society, 3: 163-184.

James G. March and Johan P. Olsen, Rediscovering Institutions. New York: Free Press, 1989.

James G. March and Johan P. Olsen, *Democratic Governance*. New York: Free Press, 1995.

Gary Miller, "Rational Choice and Dysfunctional Institutions," *Governance*, Vol. 13: 535-547.

Terry M. Moe, "The New Economics of Organization," *American Journal of Political Science* 28, 4 (November 1984), 739-777.

Terry Moe, "Political Institutions: The Neglected Side of the Story," *Journal of Law, Economics, & Organization*, Vol. 6, Special Issue: 213-253 (and also comments by Oliver E. Williamson, pp. 263-266).

Douglass C. North, *Institutions, Institutional Change and Economic Performance*. Cambridge: Cambridge University Press, 1990.

Douglass C. North, "Institutions," Journal of Economic Perspectives, Vol. 5: 97-112.

Scott Page, "Path Dependence," Quarterly Journal of Political Science, Vol. 1: 87-115.

Paul Pierson, Increasing Returns, Path Dependence, and the Study of Politics. *American Political Science Review* 94, 2 (June 2000), 251-67.

Sven Steinmo, Kathleen Thelen, and Frank Longstreth, eds., *Structuring Politics: Historical Institutionalism in Comparative Analysis*. Cambridge: Cambridge University Press, 1992.

George Tsebelis. Nested Games. Berkeley: University of California Press, 1990, Chs. 2 and 4.

- Barry R. Weingast, "Rational Choice Institutionalism," in Ira Katznelson and Helen V. Milner, (eds.). *Political Science Discipline as Discipline: Reconsidering Power, Choice, and the State*. New York: Norton, 2002.
- Oliver E. Williamson. "Transaction Cost Economics," in Richard Schmalensee and Robert Willig (eds.) *Handbook of Industrial Organization*. New York: North Holland, 1989.

2. **DEMOCRACY AND AUTOCRACY** (October 5)

Required:

- Daron Acemoglu and James A. Robinson. *Economic Origins of Dictatorship and Democracy* Cambridge: Cambridge University Press, 2006, chs. 1-3.
- Michael Bratton and Nicholas van de Walle, *Democratic Experiments in Africa*. Cambridge: Cambridge University Press, 1997, ch. 1.
- Barbara Geddes, "What do we know about democratization after twenty years?," *Annual Review of Political Science* 2 (1999): 115-144.
- Mancur Olson, Power and Prosperity. New York: Basic Books, 2000, chs. 1-2...
- Adam Przeworski et al., Democracy and Development. Cambridge: Cambridge University Press, 2000, chs. 1 and 2.
- Barry R. Weingast, "Political foundations of democracy and the rule of law," *American Political Science Review*, 91 (2), June 1997: 245-263.

- Barry Ames, *Political Survival: Politicians and Public Policy in Latin America*. Berkeley: University of California Press, 1987.
- Edward Banfield, The Moral Basis of a Backward Society. Glencoe, IL: The Free Press, 1958.
- Carles Boix. Democracy and redistribution. New York: Cambridge University Press, 2003.
- Bruce Bueno de Mesquita, Alastair Smith, Randolph M. Siverson, and James D. Morrow, *The Logic of Political Survival*. Cambridge, MA: MIT Press, 2003.
- Bruce Bueno de Mesquita and Hilton L. Root, eds., *Governing for Prosperity*. New Haven: Yale University Press, 2000.
- Samuel Decalo, Coups and Army Rule in Africa. New Haven: Yale University Press, 1976.
- James DeNardo, *Power in Numbers:The Political Strategy of Protest and Rebellion*. Princeton: Princeton University Press, 1985.
- Carl J. Friedrich and Zbigniew Brzezinski, Totalitarian Dictatorship and Autocracy. New York: Praeger, 1956.
- Stephan Haggard and Steven B. Webb, eds., *Voting for Reform: Democracy, Political Liberalization and Economic Adjustment.* New York: Oxford University Press, 1994.
- John Helliwell. "Empirical Linkages between Democracy and Economic Growth." *British Journal of Political Science* 24(2): 225-48.
- Albert O. Hirschman, Exit, Voice and Loyalty. Cambridge: Harvard University Press, 1970.
- Samuel P. Huntington, *Political Order in Changing Societies*. New Haven: Yale University Press, 1968.
- Robert H. Jackson and Carl G. Rosberg, *Personal Rule in Black Africa*. Berkeley: University of California Press, 1982.
- Ken Jowitt, The New World Disorder: The Leninist Extinction. Berkeley: University of California Press, 1992.
- Juan J. Linz and Alfred Stepan, eds., *The Breakdown of Democratic Regimes*. Baltimore: Johns Hopkins University Press, 1978.
- Juan J. Linz and Alfred Stepan, *Problems of Democratic Transition and Consolidation*. Baltimore: Johns Hopkins University Press, 1996.
- John B. Londregan and Keith T. Poole, "Does High Income Promote Democracy?," World Politics, 49: 1-30.
- Roger Myerson, "The Autocrat's Credibility Problem and Foundations of the Constitutional State," *American Political Science Review*, 102: 125-139.
- Guillermo O'Donnell. "Reflections on the Patterns of Change in the Bureaucratic-Authoritarian State." *Latin American Research Review*, 13(1), 1978: 3-38.
- Amos Perlmutter, The Military and Politics in Modern Times. New Haven: Yale University Press, 1977.
- Adam Przeworski, "Democracy as an Equilibrium," Public Choice, 123: 253-273.
- Adam Przeworski, Susan C. Stokes, and Bernard Manin. *Democracy, Accountability, and Representation*. Cambridge: Cambridge University Press, 1999.
- Peter H. Smith, *Argentina and the Failure of Democracy: Conflict Among Political Elites, 1904-1955.* Madison: University of Wisconsin Press, 1974.
- Susan C. Stokes, ed. *Public Support for Market Reforms in New Democracies*. New York: Cambridge University Press, 2001.

Gordon Tullock, Autocracy. Dordrecht: Kluwer, 1987.

Ronald Wintrobe, The Tinpot and the Totalitarian: An Economic Theory of Dictatorship. *American Political Science Review* 84, 3 (September 1990), 850-72.

3. **FEDERALISM, CONSOCIATIONALISM, AND POWER-SHARING** (October 12)

Required:

Arend Lijphart, Patterns of Democracy, chs. 1-3 and 10.

Jonathan Rodden, Hamilton's Paradox. Cambridge: Cambridge University Press, 2006, chs. 1, 3-4, and 11.

Philip G. Roeder and Donald Rothchild, eds. *Sustainable Peace: Power and Democracy after Civil Wars*. Ithaca: Cornell University Press, 2005, chs. 2-3.

Richard Rose, "The End of Consensus in Austria and Switzerland." *Journal of Democracy* 11, 2 (April 2000), 26-40.

Barry R. Weingast, "The Economic Role of Political Institutions: Market-Preserving Federalism and Economic Development," *Journal of Law, Economics and Organization* 11(1995), 1: 1-32.

Recommended:

The Federalist Papers.

David R. Beam et al., "Federalism: The Challenge of Conflicting Theories and Contemporary Practice," in Ada Finifter, ed., *Political Science: The State of the Discipline*. Washington, DC: American Political Science Association, 1983, ch. 9.

Albert Breton, Competitive Governments. Cambridge: Cambridge University Press, 1996.

Hongbin Cai and Daniel Triesman. "State Corroding Federalism." *Journal of Public Economics*, 88(3-4, March 2004): 819-843.

William M. Chandler, "Federalism and Political Parties," in *Federalism and the Role of the State*, edited by Herman Bakvis and William M. Chandler, Toronto: University of Toronto Press, 1987.

Ellen Comisso, "Federalism and Nationalism in Post-Socialist Eastern Europe," *New Europe Law Review* 1(2), Spring 1993:489-503.

Jacques Cremer and Thomas Palfrey. "Political Confederation." *American Political Science Review* 93, 1999: 69-93.

Robert A. Dahl and Edward R. Tufte, Size and Democracy. Stanford: Stanford University Press, 1973.

Ivo D. Duchacek, *Comparative Federalism: The Territorial Dimension of Politics*. New York: Holt, Rinehart, and Winston, 1970.

Jon Elster and Rune Slagstad, *Constitutionalism and Democracy*. Cambridge: Cambridge University Press, 1988. James Fearon. "Electoral Accountability and the Control of Politicians: Selecting Good Types versus Sanctioning Poor Performance." In *Democracy, Accountability, and Representation*, eds. Adam Przeworski, Susan C. Stokes, and Bernard Manin. Cambridge: Cambridge University Press, 1999.

John Ferejohn. "Incumbent Performance and Electoral Control." Public Choice 30, Fall 1986: 5-25.

Liesbet Hooghe and Gary Marks, "Unraveling the Central State, but how? Types of Multi-level Governance," *American Political Science Review*, 97(2), May 2003: 233-243.

Charlie Jeffery. "Federalism and Territorial Politics," in *Developments in German Politics 3*, edited by Stephen Padgett, William E. Paterson, and Gordon Smith. New York: Palgrave Macmillan, 2003.

Preston King, Federalism and Federation. Baltimore: Johns Hopkins University Press, 1982.

W. Arthur Lewis, Politics in West Africa. London: Allen and Unwin, 1965.

Arend Lijphart, *Democracy in Plural Societies: A Comparative Exploration*. New Haven: Yale University Press, 1977.

Arend Lijphart, *Power-Sharing in South Africa*. Berkeley: Institute of International Studies, University of California, 1985.

G. Bingham Powell, Jr., Contemporary Democracies. Cambridge: Harvard University Press, 1982.

Alvin Rabushka and Kenneth A. Shepsle, *Politics in Plural Societies: A Theory of Democratic Instability*. Columbus, Ohio: Merrill, 1972.

Andrew Reynolds, ed., *The Architecture of Democracy: Constitutional Design, Conflict Management, and Democracy.* Oxford: Oxford University Press, 2002.

William H. Riker, Federalism: Origin, Operation, Significance. Boston: Little, Brown, 1964.

William H. Riker, "Federalism." In *Handbook of Political Science*, eds. Fred Greenstein and Nelson Polsby. Vol. 5, pp. 93-172.

Stein Rokkan and Derek W. Urwin, Economy, Territory, Identity. London: Sage, 1983.

Jürg Steiner, Amicable Agreement versus Majority Rule: Conflict Resolution in Switzerland. Chapel Hill: University

- of North Carolina Press, 1974.
- Charles Tiebout. "A Pure Theory of Local Expenditures." Journal of Political Economy 64, 1956: 416-24.
- Daniel Treisman, *After the Deluge: Regional Crises and Political Consolidation in Russia*. Ann Arbor: University of Michigan Press, 1999.
- Daniel Treisman. "Decentralization and Inflation: Commitment, Collective Action, or Continuity?" *American Political Science Review* 94, 2000: 837-858.
- George Tsebelis, *Nested Games: Rational Choice in Comparative Politics*. Berkeley: University of California Press, 1990, ch. 6.
- R. Kent Weaver and Bert A. Rockman, eds., *Do Institutions Matter? Government Capabilities in the United States and Abroad.* Washington, DC: The Brookings Institution, 1993.
- K.C. Wheare. Federal Government. Oxford: Oxford University Press, 1946.
- Erik Wibbels, "Federalism and the Politics of Macroeconomic Policy and Performance," *American Journal of Political Science* 44(4), October 2000: 687-702.
- Erik Wibbels, "Madison in Baghdad?: Decentralization and Federalism in Comparative Politics," *Annual Review of Political Science*, Vol. 9 (2006): 165-188.

4. **PRESIDENTIALISM AND EXECUTIVES** (October 19)

Required:

- Jose Antonio Cheibub, *Presidentialism Parliamentarism*, and *Democracy*. Cambridge: Cambridge University Press, 2007, chs. 1-2, 5-7.
- Arend Lijphart, Patterns of Democracy, ch. 7.
- Juan J. Linz and Arturo Valenzuela, eds., *The Failure of Presidential Democracy: Comparative Perspectives*. Baltimore: Johns Hopkins University Press, 1994, ch. 1(Linz).
- David J. Samuels and Matthew S. Shugart, *Presidents, Parties and Prime Ministers*. Cambridge: Cambridge University Press, 2010, chs. 1-3, 5, and 9.
- Matthew S. Shugart and John M. Carey, *Presidents and Assemblies*. Cambridge: Cambridge University Press, 1992, chs. 1-2, 5, and 13.
- George Tsebelis, *Veto Players: How Political Institutions Work.* Princeton: Princeton University Press, 2002, Introduction and chs. 1-3.

- Joel D. Aberbach, Robert D. Putnam, and Bert A. Rockman, *Bureaucrats and Politicians in Western Democracies*. Cambridge: Harvard University Press, 1981.
- Jean Blondel and Ferdinand Müller-Rommel, eds., Governing Together. New York: St. Martin's Press, 1993.
- Albert Breton, "The Organization of Competition in Congressional and Parliamentary Governments." In *The Competitive State*, ed. Albert Breton, Gianluigi Galeotti, Pierre Salmon, and Ronald Wintrobe. Dordrecht: Kluwer, 1991.
- John M. Carey and Matthew S. Shugart, eds., *Executive Decree Authority*. Cambridge: Cambridge University Press, 1998
- José Cheibub, Adam Przeworski, and Sebastian Saiegh, "Government Coalitions and Legislative Success Under Presidentialism and Parliamentarism," *British Journal of Political Science*, Vol. 34: 565-587.
- Maurice Duverger, "A New Political System Model: Semi-Presidential Government," *European Journal of Political Research* 8, 2 (June 1980), 165-87.
- Donald L. Horowitz, Seymour Martin Lipset, and Juan J. Linz, "Debate--Presidents vs. Parliaments," *Journal of Democracy* 1, 4 (Fall 1990), 73-91.
- Arend Lijphart, ed., Parliamentary versus Presidential Government. Oxford: Oxford University Press, 1992.
- Scott Mainwaring, "Presidentialism, Multipartism, and Democracy: The Difficult Combination." *Comparative Political Studies* 26, 2 (July 1993), 198-228.
- Scott Mainwaring and Matthew S. Shugart, eds., *Presidentialism and Democracy in Latin America*. Cambridge: Cambridge University Press, 1997.
- G. Bingham Powell, Jr., "Constitutional Design and Citizen Electoral Control," *Journal of Theoretical Politics* 1, 2 (April 1989), 107-30.
- Adam Przeworski, Susan C. Stokes, and Bernard Manin, eds., *Democracy, Accountability, and Representation*. Cambridge: Cambridge University Press, 1999.
- R. Kent Weaver and Bert A. Rockman, eds., *Do Institutions Matter? Government Capabilities in the United States and Abroad.* Washington, DC: The Brookings Institution, 1993.

5. PARLIAMENTARY DEMOCRACY AND LEGISLATURES (October 26)

Required:

Gary W. Cox, The Efficient Secret. Cambridge: Cambridge University Press, 1987, ch. 6.

Arend Lijphart, Patterns of Democracy, ch. 11.

Kaare Strøm, Wolfgang C. Müller, and Daniel Markham Smith, "Parliamentary Control of Coalition Governments," *Annual Review of Political Science* 13 (2010): 517-35.

Kaare Strøm, Wolfgang C. Müller, and Torbjörn Bergman, eds., *Delegation and Accountability in Parliamentary Democracies*. Oxford: Oxford University Press, 2003: chs. 3 and 22.

George Tsebelis, Veto Players. Princeton: Princeton University Press, 2002; ch. 4.

George Tsebelis and Jeannette Money. Bicameralism. Cambridge: Cambridge University Press, 1997, selections.

Recommended:

David P. Baron and John A. Ferejohn, "Bargaining in Legislatures." *American Political Science Review* 83, 4 (December 1989), 1181-1206.

Sean Bowler, David M. Farrell, and Richard S. Katz, eds., *Party Discipline and Parliamentary Government*. Columbus: Ohio State University Press, 1999.

Bruce Cain, John Ferejohn, and Morris Fiorina, *The Personal Vote: Constituency Service and Electoral Independence.* Cambridge: Harvard University Press, 1987.

Lawrence C. Dodd, Coalitions in Parliamentary Government. Princeton: Princeton University Press, 1976.

Herbert Döring, ed., Parliaments and Majority Rule in Western Europe. New York: St. Martin's Press, 1995.

Thomas T. Hammond, "Formal Theory and the Institutions of Governance." Governance 9, 2 (April 1996), 107-85.

John D. Huber, "Restrictive Legislative Procedures in France and the United States." *American Political Science Review* 86, 3 (September 1992), 675-687.

John D. Huber, "The Vote of Confidence in Parliamentary Democracies" *American Political Science Review* 90, 2 (June 1996), 269-82.

John D. Huber, Rationalizing Parliament. Cambridge: Cambridge University Press, 1997.

D. Roderick Kiewiet and Mathew D. McCubbins, *The Logic of Delegation*. Chicago:University of Chicago Press, 1991, chs. 2-3.

Anthony King, "How to Strengthen Legislatures - Assuming That We Want To." In *The Role of the Legislature in Western Democracies*, ed. Norman J. Ornstein. Washington, DC: American Enterprise Institute, 1981.

Michael J. Laver and Kenneth A. Shepsle, eds., *Cabinet Members and Parliamentary Government*. Cambridge: Cambridge University Press, 1994.

Gerhard Loewenberg and Samuel C. Patterson, Comparing Legislatures. Boston: Little, Brown, 1979.

Gregory M. Luebbert, *Comparative Democracy: Policymaking and Governing Coalitions in Europe and Israel.*New York: Columbia University Press, 1986.

Michael L. Mezey, Comparative Legislatures. Durham, NC: Duke University Press, 1979.

Terry M. Moe and Michael Caldwell, "The Institutional Foundations of Democratic Government: A Comparison of Presidential and Parliamentary Systems," and comments by Gebhard Kirchgässner and Arthur Lupia. *Journal of Institutional and Theoretical Economics* 150/1, 171-210.

Matthew S. Palmer, "The Economics of Organization and Ministerial Responsibility." *Journal of Law, Economics, and Organization* 11, 1 (1995), 165-88.

6. **COALITIONS AND COALITION BARGAINING** (November 2)

Required:

David Austen-Smith and Jeffrey S. Banks, "Elections, Coalitions, and Legislative Outcomes," *American Political Science Review* 82, 2 (June 1988), 405-422.

Michael Laver, "Models of Government Formation," Annual Review of Political Science 1 (1998): 1-25.

Michael J. Laver and Kenneth A. Shepsle, "Coalitions and Cabinet Government," *American Political Science Review* 84, 3 (September 1990), 873-90.

Arend Lijphart, Patterns of Democracy, ch. 6.

Kaare Strøm, Wolfgang C. Müller, and Torbjörn Bergman, eds., *Cabinets and Coalition Bargaining*. Oxford: Oxford University Press, 2008: chs. 1-2 and 6.

George Tsebelis, Veto Players. Princeton: Princeton University Press, 2002; ch. 9.

- David P. Baron and John A. Ferejohn, "Bargaining in Legislatures." *American Political Science Review* 83, 4 (December 1989), 1181-1206.
- Royce Carroll and Gary W. Cox, "The Logic of Gamson's Law: Pre-election Coalitions and Portfolio Allocations." *American Journal of Political Science* 51, 2 (April 2007), 251-65.
- Abram De Swaan, Coalition Theories and Cabinet Formations: A Study of Formal Theories of Coalition Formation Applied to Nine European Parliaments after 1918. Amsterdam: Elsevier, 1973.
- Daniel Diermeier and Randolph T. Stevenson, Coalition Terminations and Critical Events. *American Political Science Review* 94, 3 (September 2000), 627-40.
- Lawrence C. Dodd, Coalitions in Parliamentary Government. Princeton: Princeton University Press, 1976.
- John D. Huber and Cecilia Martinez-Gallardo, "Replacing Cabinet Ministers: Patterns of Ministerial Stability in Parliamentary Democracy." *American Political Science Review* 102, 2 (May 2008), 169-80.
- Michael J. Laver and Kenneth A. Shepsle, *Making and Breaking Governments*. Cambridge: Cambridge University Press, 1996.
- Gregory M. Luebbert, *Comparative Democracy: Policymaking and Governing Coalitions in Europe and Israel.*New York: Columbia University Press, 1986.
- Arthur W. Lupia and Kaare Strøm, "Coalition Termination and the Strategic Timing of Parliamentary Elections." *American Political Science Review* 89, 3 (September 1995), 648-65.
- William H. Riker, The Theory of Political Coalitions. New Haven: Yale University Press, 1962.
- Petra Schleiter and Edward Morgan-Jones, "Constitutional Power and Competing Risks." *American Political Science Review* 103, 3 (August 2009), 496-512.
- Kaare Strøm, Minority Government and Majority Rule. Cambridge: Cambridge University Press, 1990.
- Paul Warwick, Government Survival in Parliamentary Democracies. Cambridge: Cambridge University Press, 1994.

7. **ELECTIONS AND PARTY SYSTEMS** (November 9).

Required:

- Carles Boix, "Setting the Rules of the Game: The Choice of Electoral Systems in Advanced Democracies." *American Political Science Review* 93, 3 (September 1999), 609-24.
- Gary W. Cox, Making Votes Count. Cambridge: Cambridge University Press, 1997, chs. 1-4, 8, and 15.
- Thomas R. Cusack, Torben Iversen, and David Soskice, "Economic Interests and the Origins of Electoral Systems." *American Political Science Review* 101, 3 (August 2007), 373-91.
- Arend Lijphart, Patterns of Democracy, chs. 5 and 8.
- Seymour Martin Lipset and Stein Rokkan, eds., *Party Systems and Voter Alignments: Cross-National Perspectives*. New York: Free Press, 1967, pp. 1-64.
- G. Bingham Powell, Jr., *Elections as Instruments of Democracy*. New Haven: Yale University Press, 2000, chs. 1-2, and 10.

- Michel L. Balinski and H. Peyton Young, *Fair Representation: Meeting the Ideal of One Man,One Vote.* New Haven: Yale University Press, 1982.
- Kathleen Bawn, "The Logic of Institutional Preferences: German Electoral Law as a Social Choice Outcome." *American Journal of Political Science* 37, 4 (November 1993), 965-89.
- Josep M. Colomer, *Political Institutions*. Oxford: Oxford University Press, 2001.
- Gary W. Cox, "Centripetal and Centrifugal Incentives in Electoral Systems," *American Journal of Political Science* 34, 4 (November 1990), 903-935.
- Anthony Downs, An Economic Theory of Democracy. New York: Harper and Row, 1957.
- Maurice Duverger, Political Parties: Their Organization and Activity in the Modern State. New York: Wiley, 1954.
- Robert W. Jackman, "Political Institutions and Voter Turnout in the Industrial Democracies," *American Political Science Review* 81, 2 (June 1987), 405-23.
- Richard S. Katz, A Theory of Parties and Electoral Systems. Baltimore: Johns Hopkins University Press, 1980.
- Arend Lijphart, Electoral Systems and Party Systems. Cambridge: Cambridge University Press, 1994.
- Seymour Martin Lipset, Political Man: The Social Bases of Politics. Garden City, NY: Anchor Books, 1963.
- G. Bingham Powell, Jr., *Contemporary Democracies: Participation, Stability and Violence*. Cambridge: Harvard University Press, 1982.
- G. Bingham Powell, Jr., "American Voter Turnout in Comparative Perspective," *American Political Science Review* 80, 1 (March 1986), 17-43.

- Adam Przeworski and John Sprague, *Paper Stones: A History of Electoral Socialism*. Chicago: University of Chicago Press, 1986.
- Douglas W. Rae, The Political Consequences of Electoral Laws. New Haven: Yale University Press, 1967.
- William H. Riker, "The Two-Party System and Duverger's Law." *American Political Science Review* 76, 4 (December 1982), 753-766.
- Stein Rokkan, Citizens, Elections, Parties. New York: David McKay, 1970.
- Giovanni Sartori, Parties and Party Systems: A Framework for Analysis. Cambridge: Cambridge University Press, 1976
- Matthew S. Shugart and John Carey, *Presidents and Assemblies*. Cambridge: Cambridge University Press, 1992, chs. 10-11.
- Rein Taagepera and Matthew S. Shugart, *Seats and Votes: The Effects and Determinants of Electoral Systems*. New Haven: Yale University Press, 1989.

8. **PARTY ORGANIZATION AND DEVELOPMENT** (November 16)

Required:

John Carey and Matthew Shugart. 1995. "Incentives to Cultivate a Personal Vote." *Electoral Studies* 14(4): 417-39. Gary W. Cox, *Making Votes Count.* Cambridge: Cambridge University Press, 1997, chs. 10 and 12.

Russell J. Dalton and Martin P. Wattenberg, eds., *Parties without Partisans*. Oxford: Oxford University Press, 2000, chs. 2-3.

Richard S. Katz and Peter Mair, "Changing Models of Party Organization and Party Democracy: The Emergence of the Cartel Party." *Party Politics* 1, 1(January 1995), 5-28.

Herbert Kitschelt, "Linkages between Citizens and Politicians in Democratic Politics." *Comparative Political Studies* 33, 6/7 (2000).

Herbert Kitschelt and Steven I. Wilkinson. eds., *Patrons, Clients, and Politics: Patterns of Democratic Accountability and Political Competition*. Cambridge: Cambridge University Press, 2007, ch. 1.

Wolfgang C. Müller and Kaare Strøm, eds., *Policy, Office, or Votes? How Political Parties in Western Europe Make Hard Decisions.* Cambridge: Cambridge University Press, 1999, ch. 1.

Recommended:

Jens Alber, "Modernization, Cleavage Structures, and the Rise of Green Parties and Lists in Europe." Pp. 195-210 in *New Politics in Western Europe*, ed. Ferdinand Müller-Rommel. Boulder, CO: Westview, 1989.

John Aldrich, Why Parties? The Origin and Transformation of Party Politics in America. Chicago: University of Chicago Press, 1995.

Stefano Bartolini, *The Political Mobilization of the European Left, 1860-1980.* Cambridge: Cambridge University Press, 2000.

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Herbert P. Kitschelt, *The Transformation of European Social Democracy*. Cambridge: Cambridge University Press, 1994.

David Laitin, "Hegemony and Religious Conflict: British Imperial Control and Religious Cleavages in Yorubaland." In *Bringing the State Back In*, eds. Peter B. Evans, Dietrich Rueschemeyer, and Theda Skocpol. Cambridge: Cambridge University Press, 1985, ch. 9.

Robert Michels, *Political Parties: A Sociological Study of the Oligarchical Tendencies of Modern Democracy*. New York: Collier Books, 1962; or any other edition.

Wolfgang C. Müller, Political Parties in Parliamentary Democracies: Making Delegation and Accountability Work. *European Journal of Political Research* 37, 3 (May 2000), 309-33.

Angelo Panebianco, *Political Parties: Organization and Power*. Cambridge: Cambridge University Press, 1988. David Robertson, *A Theory of Party Competition*. London: John Wiley, 1976.

Stein Rokkan, State Formation, Nation-Building and Mass Politics in Europe. Oxford: Oxford University Press, 1999.

Joseph A. Schlesinger, Political Parties and the Winning of Office. Ann Arbor: University of Michigan Press, 1991.

9. **BUREAUCRACY, DELEGATION, AND LAW** (November 23)

Required:

John D. Huber and Charles Shipan, *Deliberate Discretion*. Cambridge: Cambridge Univ Press, 2002, chs. 2 and 4. Margaret Levi, *Of Rule and Revenue*. Berkeley, CA: University of California Press, 1988, chs. 1-2.

J. Mark Ramseyer and Frances M. Rosenbluth, *Japan's Political Marketplace*. Cambridge: Harvard University Press, 1997, chs. 1-5, 10.

Susan Rose-Ackerman, *Corruption and Government*. Cambridge:Cambridge University Press, 1999, chs. 1, 3, 7, 11. George Tsebelis. *Veto Players*. Princeton: Princeton University Press, 2002, ch. 10.

Recommended:

Charles Cameron. Veto Bargaining. Cambridge: Cambridge University Press, 2000.

Gary Cox and Mathew McCubbins. "The Institutional Determinants of Economic Policy Outcomes." In *Presidents*, *Parliaments*, *and Policy*, edited by Stephan Haggard and Mathew McCubbins, Cambridge: Cambridge University Press, 2001.

John Ferejohn, Frances Rosenbluth, and Charles Shipan, "Comparative Judicial Politics." In *The Oxford Handbook of Comparative Politics*, ed. Carles Boix and Susan C. Stokes. Oxford: Oxford University Press, 2007.

Barbara Geddes, Politician's Dilemma. Berkeley: University of California Press, 1994.

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Mancur Olson, Power and Prosperity. New York: Basic Books, 2000.

Amos Perlmutter, The Military and Politics in Modern Times. New Haven: Yale University Press, 1977.

Philip G. Roeder, *Red Sunset*. Princeton: Princeton University Press, 1993.

Susan Shirk, The Political Logic of Economic Reform in China. Berkeley: University of California Press, 1993.

Andrei Shleifer and Robert W. Vishny, *The Grabbing Hand: Government Pathologies and their Cures*. Cambridge: Harvard University Press, 1998.

Matthew Shugart and Stephan Haggard, "Institutions and Public Policy in Presidential Systems." In *Presidents*, *Parliaments*, *and Policy*, edited by Stephan Haggard and Mathew McCubbins, Cambridge University Press, 2001.

10. INSTITUTIONS AND ECONOMIC PERFORMANCE (November 30)

Required:

Daron Acemoglu, Simon Johnson, and James A. Robinson. "Reversal of Fortune: Geography and Institutions in the Making of the Modern World Income Distribution," *The Quarterly Journal of Economics*, 117: 1231-94.

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Torsten Persson and Guido Tabellini. The Economic Effect of Constitutions. MIT Press, 2003. Ch. 6.

Adam Przeworski et al., Democracy and Development. Cambridge: Cambridge University Press, 2000, ch. 3.

Recommended:

Philip Keefer, "What Does Political Economy Tell Us About Economic Development -- and Vice Versa?," *Annual Review of Political Science*, 7: 247-272Temple, Jonathan. 1999. "The New Growth Evidence," *Journal of Economic Literature*, Vol. 37: 112-156.

Aron, Janine. 2000. "Growth and Institutions: A Review of the Evidence," *The World Bank Research Observer*, 15 99-135.

Dani Rodrik, Arvind Subramanian, and Francesco Trebbi, "Institutions Rule: The Primacy of Institutions Over Geography and Integration in Economic Development," *Journal of Economic Growth*, 9: 131-165.

- Kenneth L. Sokoloff and Stanley L. Engerman, "History Lessons: Institutions, Factor Endowments, and Paths of Development in the New World," *Journal of Economic Perspectives*, 14: 217-232.
- Frederic C. Lane, "Consequences of Organized Violence," Journal of Economic History, Vol. 18, 4: 401-417.
- Alexander Gerschenkron. *Economic backwardness in historical perspective*. Belknap Press of Harvard University Press. Cambridge, 1962.
- Douglass C. North and Thomas, Robert Paul. *The Rise Of The Western World; A New Economic History*. Cambridge [Eng.] University Press, 1973.
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- Robert H. Bates. Markets and States in Tropical Africa. Berkeley: University of California Press, 1981.
- Nathan Rosenberg, and L. E. Birdzell. *How the West grew rich: the economic transformation of the industrial world.* New York: Basic Books, 1986.
- Hilton L. Root, "Tying the King's Hands: Credible Commitments and Royal Fiscal Policy during the Old Regime," *Rationality and Society*, Vol. 1, No. 2: 240-258.
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- Douglass C. North. *Institutions, Institutional Change, and Economic Performance*. Cambridge: Cambridge University Press, 1990.
- Robert Bates, Avner Greif, Margaret Levy, Jean-Laurent Rosenthal, and Barry Weingast. *Analytic Narratives*. Princeton: Princeton University Press, 1998.
- Hernando De Soto. The Mystery of Capital. New York: Basic Books, 2000.
- William Easterly, William. The Elusive Quest for Growth. Cambridge: MIT Press, 2002.
- David Stasavage. Public Debt and the Birth of the Democratic State. New York: Cambridge University Press, 2003.
- Avner Greif. Institutions and the Path to the Modern Economy. Cambridge, Cambridge University Press, 2006.
- Alberto Alesina and Dani Rodrik, "Distributive Politics and Economic Growth," *The Quarterly Journal of Economics*, Vol. 109: 465-490.
- Simeon Djankov, Edward Glaeser, Rafael La Porta, Florencio Lopez-de-Silanes, and Andrei Shleifer, "The new comparative economics," *Journal of Comparative Economics*, 31: 595-619.
- Dani Rodrik, "Why We Learn Nothing from Regressing Economic Growth on Policies", mimeo: http://ksghome.harvard.edu/: drodrik/policy%20regressions.pdf
- Edward Glaeser, Rafael La Porta, Florencio Lopez-de-Silanes, and Andrei Shleifer, "Do Institutions Cause Growth?," *Journal of Economic Growth*, 9: 271-303.
- Abhijit Banerjee and Lakshmi Iyer, "History, Institutions, and Economic Performance: The Legacy of Colonial Land Tenure Systems in India," *American Economic Review*, 94: 1190-1212.